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ON January 26, 2004

Audrey Boyd  
January 26, 2004  
DATE

Attorney Docket No. B45070-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Friede, et al. January 26, 2004  
Serial No.: 09/819,464 Group Art Unit No.: 1648  
Filed: March 28, 2001 Examiner: Z. Lucas  
For: VACCINES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Supplemental Information Disclosure Statement Under 37 C.F.R. §1.97(c)

The attached list of citations is being submitted under the provisions of 37 C.F.R. §1.56 and §1.97 in order to comply with the duty of disclosure. Their inclusion herein, however, should not be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention.

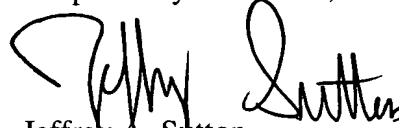
The references cited herein are listed on the enclosed PTO Form 1449. Copies of each are enclosed.

This statement is being filed under the provisions of 37 C.F.R. §1.97(c), before the mailing date of a Final Office action or before the mailing date of a Notice of Allowance, along with authorization to charge the \$180.00 fee specified in 37 C.F.R. §1.17(p) to the Deposit Account No. 19-2570.

Serial No.: 09/819,464  
Group Art Unit No.: 1648

Please charge any additional fees under 37 C.F.R. §1.16 or §1.17 which may be required by this paper, or credit any overpayment, to the indicated Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Jeffrey A. Sutton', written over the typed name.

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Form PTO-1449  
U.S. Department of Commerce  
Patent and Trademark OfficeATTY. DOCKET NO.  
B45070-1SERIAL NO.  
09/819,464**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT***(Use several sheets if necessary)*APPLICANT  
Friede, et al.FILING DATE  
March 28, 2001GROUP  
1648**U.S. PATENT DOCUMENTS**

| Examiner Initial | Document Number | Date     | Name           | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------------|----------|----------------|-------|----------|----------------------------|
|                  | 5,057,540       | 10/15/91 | Kensil, et al. | 514   | 25       |                            |
|                  |                 |          |                |       |          |                            |
|                  |                 |          |                |       |          |                            |
|                  |                 |          |                |       |          |                            |
|                  |                 |          |                |       |          |                            |
|                  |                 |          |                |       |          |                            |
|                  |                 |          |                |       |          |                            |
|                  |                 |          |                |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

|  | Document Number | Date    | Country | Class | Subclass | Translation |    |
|--|-----------------|---------|---------|-------|----------|-------------|----|
|  |                 |         |         |       |          | Yes         | No |
|  | WO 96/11711     | 4/25/96 | PCT     |       |          |             |    |
|  | 0 231 039       | 8/5/87  | EP      |       |          |             |    |
|  | WO 90/03184     | 4/5/90  | PCT     |       |          |             |    |
|  | WO 99/12565     | 3/18/99 | PCT     |       |          |             |    |

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

|          |  |
|----------|--|
|          | Lipford et al., "Vaccination with Immunodominant Peptides Encapsulated in Quil A-Containing Liposomes Induces Peptide-Specific Primary CD8+ Cytotoxic T Cells". <i>Vaccine</i> , 12: 73-80 (1994).                           |
|          | Vogel et al., "A Compendium of Vaccine Adjuvants and Excipients". <i>Vaccine Design: The Subunit and Adjuvant Approach</i> . Chapter 7 – Quil A and QS-21 datasheets. Eds. Powell & Newman, Plenum Press. (1995).            |
|          | Kensil et al., "Structural and Immunological Characterization of the Vaccine Adjuvant QS-21". <i>Vaccine Design: The Subunit and Adjuvant Approach</i> . Chapter 22, pp. 525-541. Eds. Powell & Newman, Plenum Press (1995). |
| EXAMINER | DATE CONSIDERED  |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.